

PATENT APPLICATION TRANSMITTAL LETTER

Docket Number (Optional)

(2-92)

To the Commissioner of Patents and Trademarks:

Transmitted herewith for filing under 35 U.S.C. 111 and 37 CFR 1.53 is the patent application of

Larry T. Hansonentitled Powerful Cutting Surface With ProtectiveCrumb Pan For Wok

Enclosed are:

79 pages of written description, claims and abstract.

14 sheets of drawings. Informal

an assignment of the invention to _____

executed declaration of the inventors.

a certified copy of a _____ application.

associate power of attorney.

a verified statement to establish small entity status under 37 CFR 1.9 and 1.27.

information disclosure statement

preliminary amendment

other: _____

JC542 U.S. PTO
09/204866

12/03/98

CLAIMS AS FILED

	NUMBER FILED	NUMBER EXTRA	RATE	Fee
BASIC FEE			\$ 3.95	\$ 3.95
TOTAL CLAIMS	<u>29</u> - <u>20</u> =	<u>9</u>	<input checked="" type="checkbox"/> \$ 11	<u>99</u>
INDEPENDENT CLAIMS	<u>2</u> - <u>3</u> =	<u>-0-</u>	<input checked="" type="checkbox"/> \$	<u>-0-</u>
MULTIPLE DEPENDENT CLAIM PRESENT			\$	
* NUMBER EXTRA MUST BE ZERO OR LARGER			TOTAL	\$
If applicant has small entity status under 37 CFR 1.9 and 1.27, then divide total fee by 2, and enter amount here.			TOTAL	\$ <u>494</u>

 A check in the amount of \$ _____ to cover the filing fee is enclosed. The Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. I have enclosed a duplicate copy of this sheet. Charge the amount of \$ 494 as filing fee. Credit any overpayment. Charge any additional filing fees required under 37 CFR 1.16 and 1.17. Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).3 Dec 1998

Date


 Signature
JOHN W. HANSON
 Typed or printed name

21515 Hawthorne Blvd. #540
 Address
Torrance CA 90503

IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE

jc542 U.S. PTO
09/204866
12/03/98

Docket No.: PA1.615

Express Mail No.: EH892104142US

In re Patent of

JOHNSON, GARY

I hereby certify that this correspondence
is being deposited with the United States
Postal Service as EXPRESS Mail Service
to addressee service under 37 CFR 1.10,
postage paid, on the date indicated and
is addressed to:

Assistant Commissioner for Patents
Washington DC 20231
on 3 Dec. 1998

For: Patent Application for POWERED CUTTING SURFACE WITH PROTECTIVE
GUARD FOR EQUINE TEETH


JOHN E. HALAMKA
Dated: 3 Dec. 1998
Torrance, Los Angeles County
California

UNITED STATES POSTAL SERVICE EXPRESS MAIL FILING

Express No. EH892104142US

Identification no. PA1.615

The commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 08-0207. A duplicate copy of this sheet is enclosed.

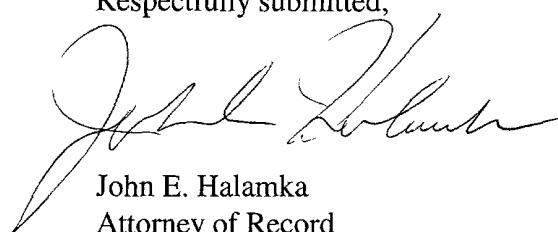
Check No. ____ in the amount of \$645.00 for
ISSUE FEE enclosed.

The filing fee for filing this application with 2 independent claims and 27 dependent claims as authorized by 37 CFR.

Any additional fees under 37 CFR.

Any processing fees under 37 CFR.

Respectfully submitted,



John E. Halamka
Attorney of Record
21515 Hawthorne Blvd.
Suite 590
Torrance, CA 90503
310-316-6100

1 POWERED CUTTING SURFACE WITH PROTECTIVE GUARD FOR
2 EQUINE TEETH

3 BACKGROUND OF THE INVENTION

4 FIELD OF THE INVENTION

5 This invention relates to the art of tooth maintenance for
6 large animals and more particularly to a set of tools which may be used
7 under powered motion for care and maintenance such as removing a
8 selected portion of the exposed surface of teeth, such as equine teeth,
9 with the powered hand being guided into the mouth of the horse. The
10 powered tool is partially guarded so as to protect fleshy portions of the
11 horse's mouth from being engaged by the powered tool. The tool may
12 have a rotary cutting surface of a selected size and shape, sometimes
13 commonly called a burr, or the tool may be a rotary cut-off disk. The
14 selected tool, either the burr or cut-off disk, is supported and partially
15 enclosed in a protective guard formed as a hand piece that may be
16 guided into the mouth of a horse to perform care and maintenance on a
17 selected portion of the teeth. The hand piece fabricated according to the
18 teaching of this invention provides for quick on and off attachment of a
19 selected cutting surface for maintenance of a preselected portion of teeth
20 within the same hand piece or another hand piece sized to ease access to
21 the next selected portion of the horse's mouth. The selected cutting
22 surface is mounted within the protective guard/hand piece arrangement
23 that may further incorporate a vacuum channel whereby the tooth dust
24 and debris created by the powered cutting surface removing a portion of
25 tooth is sucked out of the mouth of the horse. The motion of the tooth
26 surface removal tool may be changed from rotary to powered
27 reciprocating motion for a selected portion of the teeth. Attaching the
28 powered drive to the rotary cutting surface by means of an adjustable
29 clutch further enhances protection from injury to the inside of the
30 mouth of the horse.

1 DESCRIPTION OF THE PRIOR ART

2 Throughout the life of the horse, the teeth continue to
3 extend from the gums. When non-domesticated horses graze on the
4 ground, they pick up sand and hard particles in the grass, which would
5 naturally reduce the growth of the horse's teeth.

6 In order for domesticated horses to properly chew their food,
7 which consists mostly of preprocessed grain and formula, the teeth
8 require periodic maintenance. Without the natural wearing of the teeth
9 from grazing on the ground, the teeth may grow uneven and too long,
10 thus interfering with normal eating.

11 In the past, regular dental care to remove points, hooks or
12 ridges that have grown or worn into the teeth required the use of a
13 specially designed rasp-like tool to remove them, a process called floating
14 the teeth. Because of the structure of the teeth, the horse does not have
15 nerves extending upward in the teeth and therefore feels no pain when
16 the teeth are filed to reshape them.

17 A grown horse uses 36 teeth to eat. The 6 upper incisors
18 and the 6 lower incisors are for shearing grass and leaves, which are
19 masticated by 12 premolars, and 12 molars located on both sides of the
20 upper and lower jaws. These molars must align for the horse to chew
21 properly.

22 The majority of dental problems are associated with the
23 molars and premolars. However, if the incisors are to long, opposing
24 molars and premolars may be prevented from engaging properly.

25 In the prior art, hand tools similar to metal files or rasps
26 were used to remove a selected portion of the tooth surface. These tools
27 consisted of several shaped handles with pads mounted on one end.
28 The pads accepted plates having an abrasive or specially designed file or
29 rasp-toothed surface selected by the user. The mounted abrasive or
30 rasp on the handle was then inserted into the horse's mouth and

1 positioned against the tooth structure that needed to be altered. The
2 user then manually applied pressure and movement to the handle until
3 the selected portion of the tooth structure was removed.

4 Some prior solutions to the problem were to add motor
5 power to the burrs to provide a "power dental tool" to replace the manual
6 rasps. These solutions ease the manual work but introduced other
7 problems such as the uncontrolled creation of dust and debris as well as
8 the danger of injury to the horse and user from exposed high speed
9 reciprocating or rotary burrs or rasps which may engage soft tissue such
10 as the cheek, tongue, or gums inside the horses mouth.

11 Thus, there has long been a need for an arrangement that
12 allows the user, usually a veterinarian, an owner or an equine dentist, to
13 easily perform the removal of preselected material from the exposed
14 surface of the horse's teeth without danger to the horse or the person
15 doing the job.

16 It is desired that the arrangement allow the user to access
17 the full array of teeth with a set of preselected shaped and surfaced files,
18 rasps or other tools such as diamond cut-off blades.

19 It is further desired that the arrangement be motor driven
20 but provide safety to the user and horse.

21 It is further desired to provide preselected shaped covers or
22 guards around selected portions of the rotary tool to allow the system to
23 be used in all parts of the mouth of the horse.

24 It is further desired to provide a clutch between the motor
25 and the rotary tool. The threshold of disengagement of the rotary power
26 applied by means of the clutch may be adjustable with access for
27 adjustment that does not require dismantling the system.

28 It is further desired that the arrangement be able to remove
29 accumulation of debris from the inside of the horse's mouth during the
30 procedure.

1 It is desired that a simple latching or unlatching movement
2 engage and disengage the selected tool within the rotary driven
3 arrangement.

4 It is desired that a simple latching or unlatching movement
5 engage and disengage selected guards around the rotary tool.

6 It is further desired that during the operation of the
7 arrangement for the removal of material from inside the mouth the
8 inadvertent engagement of soft tissue inside the mouth not adversely
9 affect the user or the horse.

10 It is desired that reconfiguration of the arrangement be
11 accomplished even if the users hands are slippery.

12 It is further desired that the motor be separated from the
13 rotary tool by a drive train so that the user need not support the weight
14 of the motor during the procedure.

15 It is further desired that the arrangement be easily adapted
16 to a "power dental tool" motor or handle the user may presently own.

17 SUMMARY OF THE INVENTION

18 Accordingly, it is an object of the present invention to
19 provide an arrangement that allows the user to safely and easily perform
20 a dental procedure of removal of preselected material from the exposed
21 surface of the teeth of horses.

22 It is another object of the present invention to provide an
23 array of preselected size, shape and surfaced tools to be used to remove
24 the tooth material as well as a means for quickly changing the rotary tool
25 selected and provide a guard around a selected portion of the rotary tool
26 so that it does not engage the flesh inside the mouth of the horse.

27 It is an object of the present invention to provide a
28 clutch arrangement which allows the user to initially adjust the
29 threshold at which the rotary motion will disengage should the rotary
30 tool inadvertently entangle flesh inside the mouth of the horse. It is a

1 further object that said threshold may be easily changed as the
2 procedure progresses among various portions of the mouth without
3 dismantling the system.

4 It is another object of the present invention to provide a
5 method of removal of the tooth material debris from inside of mouth of
6 the horse without stopping or interfering with the progress of the
7 procedure.

8 It is yet another object of the present invention to provide an
9 arrangement which does not require the user to support the motor
10 during the procedure.

11 It is yet another object of the present invention to be easily
12 mountable on or at least partially adapted to a "power dental tool" which
13 may be currently owned by the user.

14 The above and other objects of the present invention are achieved,
15 according to a preferred embodiment thereof, by providing a system of
16 an improved power tool arrangement of a motor, power train, tools that
17 remove tooth material, rotary tool hand pieces which support the tools
18 for rotary motion or reciprocating motion and provide a guard to
19 separate the tool from soft tissue. The arrangement is provided with
20 means to easily reconfigure the tool, tool support and guard to adapt the
21 system for powered removal of preselected portions of teeth.

22 MOTOR

23 The power for the preferred arrangement may be supplied
24 from a preselected off the shelf rotary hand tool that may be obtained in
25 various configurations of torque and adjustable rpm under the
26 trademarks DREMEL or SUHNER. Each motor is designed to accept the
27 shaft of a tool with an arrangement of a collet. The tool may have a
28 selected cutting surface and a selected length of shaft. The rotary hand
29 tool may be enhanced with a flexible shaft, one end adaptively
30 mountable on the rotating shaft of the motor and the remote end

1 attachable to an optional handle whereby under the condition of the
2 rotary tool being mounted on the handle, the user may perform work by
3 directing the rotary tool remote from the motor without having to
4 support the weight of the motor. The motor may be supported within a
5 backpack, fannypack or sling arrangement worn by the user.

6 **POWER TRAIN**

7 The flexible shaft that may be mounted between the motor
8 and the tool removes the burden of holding the motor and physically
9 separates the motor from the tool thereby diminishing the level of sound
10 of the arrangement that may be disturbing to the horse. One end of the
11 flexible shaft may incorporate an adapter for quick push on connection
12 with the selected motor, the end attachable to the hand piece may be
13 fabricated with a stainless steel sleeve and shaped to incorporate a catch
14 engagable with a latch mounted on the hand piece. The length of the
15 flexible shaft may be selected to provide easy movement of the end of the
16 shaft remote from the motor.

17 However, the flexible shaft arrangements provide direct
18 coupling between the motor and the rotary tool. Should the tool engage
19 a portion of the soft flesh inside the mouth of the horse or bind against
20 the surface of the teeth, the rotational energy of this direct connection
21 may cause damage to the horse before the tool can be removed or the
22 power disconnected from the motor. In the present invention an adapter
23 is provided between the motor and the flexible shaft. An adjustable
24 clutch is mounted within the adapter to be accessible to the user to
25 adjust the threshold of torque transmitted between the motor and the
26 flexible shaft of the power train. Should the tool bind, as soon as the
27 selected threshold is exceeded, the movement of the power train is
28 interrupted so that the user may safely disengage the tool or clear the
29 obstruction thereby providing protection to the horse and user of the
30 arrangement.

1 TOOL

2 It is possible to obtain off the shelf tools to which rotary
3 motion is applied. The tool is mountable in the motor and generally
4 consists of a shaft and a working surface mounted on the end of the
5 shaft. The shaft is nominally no longer than 1 to 2 1/2 inches. The
6 working surface is provided in an array of shapes, sizes and surfaces.
7 Some of the preferred shapes include a sphere, cone, cylinder, and
8 combinations such as cylinder topped by a half sphere. These tools may
9 be commonly called a burr. The cutting surface formed in the tool may
10 be a preselected pattern of raised rasp like teeth of a preselected size and
11 shape which removes tooth material without binding, bouncing or filling
12 the rasp like teeth with debris.

13 Another type of rotary tool is the cut-off disk which is a
14 platter about the size of a quarter covered with diamond dust and
15 mounted on a shaft. This disk may be used edge on to cut off a selected
16 portion of a tooth rather than grind off the portion with a burr. The edge
17 of the disk may also be used to score the selected portion of tooth so that
18 portion may be chipped off. The flat surface of the disk may be used as
19 a polishing tool or may be used to round off any sharp edges like a disk
20 sander.

21 If a tool having a working surface is mounted within a collet
22 either on the end of the motor or on the end of the handle attached to
23 the motor or end of a flexible drive shaft and the rotary tool has a shaft
24 length of more than approximately 2 1/2 inches, the operation of this
25 configuration of an extended cutting surface rotating at high speed and
26 fully exposed, may be dangerous to the user and to the work piece, in
27 this case the mouth of a horse. The mouth of a horse is deep and
28 requires a tool of at least 12 inches in length to adequately reach the
29 exposed surface of the back molars.

1 GUARD (Safety shield/hand piece) AND ROTORY TOOL
2 SUPPORT (with vacuum channel)

3 A guard in the form of an encircling shield may be installed
4 around the tool's shaft and cutting surface to separate the user and
5 portions of the horse's mouth from the tool which is in rotary motion.
6 The guard should be fabricated to have a minimal opening to allow only
7 a selected portion of the cutting surface to be exposed. The hand piece,
8 mountable on the end of the motor or flexible shaft, may be fabricated to
9 incorporate the guard in a manner that allows the tool to be mounted
10 within a channel of the hand piece thereby supporting the shaft and
11 encircling the cutting surface. Support of the shaft is generally required
12 if the shaft of the tool is longer than approximately 4 inches in order to
13 reach into all areas of the mouth of the horse, pressing the cutting
14 surface onto the surface of the tooth may move the shaft and or cutting
15 surface against the safety shield or channel of the handpiece. Bearings
16 may be mounted at preselected positions along the channel to support
17 and protect the rotating shaft and cutting surface under conditions of
18 engaging the channel or guard surfaces.

19 The hand piece may be supplied as a set of selected lengths
20 specially adapted to service a selected portion of the horse's mouth. A 6
21 to 8 inch hand piece may be used to service the incisors. A 12 to 14
22 inch handpiece may be used for the back molars. An 8 to 12 hand piece
23 may be supplied for intermediate service whereas a 10-inch hand piece
24 is the recommended length for an all around arrangement.

25 The hand piece may include a second channel partially
26 separate from the rotary tool channel. The hand piece may be
27 fabricated to form an orifice near the cutting surface whereby the orifice
28 is in communication with the second channel. The end of the second
29 channel remote from the cutting surface is attachable to a vacuum
30 source such as a "SHOP VAC" ® whereby tooth material removed by the

1 cutting surface may be sucked out of the mouth of the horse along the
2 second channel without having to remove the hand piece from the
3 mouth of the horse.

4 The hand piece may also incorporate appropriate gearing
5 and joints to transpose the rotary motion of the motor and apply a
6 reciprocating motion to the tool mounted on hand piece. The
7 reciprocating tool usually contains a textured surface to remove tooth
8 material especially from the rear most molars which so abuts the gum of
9 the horse that the use of a rotating tool even with a guard may cause
10 injury to the gum.

11 In the preferred embodiment, the incorporation of an
12 adjustable clutch within the power train, mounting of at least one
13 support bearing within the handpiece, mounting the rotary tool with a
14 guard and further providing for the mounting of shaped guard
15 extensions on the surface of the guard provides a quick reconfiguration
16 of the arrangement during the procedure that provides care and
17 maintenance for the entire set of teeth.

18 An adapter may be provided to allow the user to use at least
19 a portion of the arrangement such as the tool handpiece and guard
20 system with a power dental device already owned by the user.

21 **BRIEF DESCRIPTION OF THE DRAWINGS**

22 The above and other embodiments of the present invention
23 may be more fully understood from the following detailed description,
24 taken together with the accompanying drawings, wherein similar
25 reference characters refer to similar elements throughout, and in which:

26 Figure 1A, B, C, D and E are front views of the present
27 invention;

28 Figure 2 is a front view of a bearing support;

29 Figure 3 is a front view of another bearing support;

7 Figure 7 B and F are views of a flange;

8 Figure 8 is a cross sectional view of the present invention;

9 Figure 9 is a cross sectional view of the present invention;

10 Figure 10 is a front view of the bearing support;

11 Figure 11 is a view of another embodiment of the present
12 invention, Figure 11 E is a view of the extended shaft;

15 Figure 13 is a view of an attachable handle;

Figure 15 is a detailed view of Figure 14 A;

19 Figure 16 is a schematic of the power train;

20 Figure 17 is a view of the clutch arrangement; and

21 Figure 18 is a view of the flexible shaft sleeve.

DESCRIPTION OF A PREFERRED EMBODIMENT

23 Referring now to the drawing, there is illustrated in Figures
24 1A through 1E an embodiment of an arrangement fabricated according
25 to the teaching of the present invention and generally designated 10.
26 Figure 1A illustrates a rotary tool support generally designated 301
27 mountable within a hand piece generally designated 401. The hand
28 piece 401 is fabricated to form a guard around a selected portion of the
29 cutting surface 302. This embodiment is adapted to be attachable to a

1 motor directly or by means of a flexible shaft and/or a handle that the
2 user may own.

3 The rotary tool support 301 illustrated in Figure 1A is
4 fabricated to support the shaft 303 of the rotary tool with a cutting
5 surface 302 mounted on the shaft 303, even if a long shaft 303 (greater
6 than 6 inches) is used.

7 Now referring to Figure 1C, the hand piece 401 fabricated
8 according to the principals of the present invention incorporates the
9 base 403 which may be fabricated to adapt the arrangement to a
10 powered rotating source, such as a flexible shaft which is engable with
11 the shaft 303, or be mountable directly on the end of a powered rotating
12 source already owned by the user. The end of the hand piece 401
13 remote from the powered rotating source is fabricated as a guard
14 encircling a selected portion of the cutting surface 302 and may accept
15 the mounting of an extended guard 406. The long shaft 303 of the
16 rotary tool is required so that the arrangement may be used to reach
17 even the rear most teeth within the mouth of the horse. The shape of
18 the extended guard 406 may be selected to protect a particular portion of
19 the horse's mouth and thus may form a set of removable attachable
20 guards.

21 Now referring to Figure 1 A, there is illustrated a rotary tool
22 support generally designated 301. The rotary tool mounted with the
23 support 301 has a cutting surface 302 mounted on a shaft 303, this
24 illustrated combination is commonly known as a burr. The shaft 303
25 extends along a bearing support sleeve 304. In the preferred
26 embodiment, a bearing 305 is press fit into the end of the sleeve 304
27 nearest the cutting surface 302. An upper shaft seal 306 is mounted
28 above the bearing 305. A lower shaft seal 307 may be mounted on the
29 end of the shaft 303 remote from the cutting surface 302 to protect the

1 bearing 305 from contamination. Figure 1 B shows the rotary tool
2 support 301 fully assembled.

3 Figure 1 C illustrates a hand piece generally designated 401.
4 An outer shell fabricated of a capped tube 402 is mounted into an
5 adaptive base 403 having a plurality of setscrews 405 depicted as an
6 upper pair 405U and a lower pair 405L. The diameter of the tube 402 is
7 selected to be a snug fit for the fully assembled rotary tool support 301
8 but allow the tool support 301 to be easily inserted into or removed from
9 the tube 402. A selected upper portion of the tube 402 is removed down
10 to the lower edge 404 to form an opening and expose a selected portion
11 of the cutting surface 302. The remainder of the outer shell capped tube
12 402 forms a shield around the cutting surface 302. If more protection is
13 desired an external guard 406 of preselected shape may be slid over the
14 end of the tube 402 and secured in place with a set screw 405. The
15 shape of the external guard 406 is selected so as to not come into
16 contact with the cutting surface 302. Walls 407 form an opening in the
17 external guard 406 to expose a preselected portion of the cutting surface
18 302.

19 Figure 1 D illustrates the rotary tool support 301 fully
20 inserted into the hand piece 401 and secured lightly therein by the
21 upper pair of setscrews 405U. The end of the shaft 303 remote from the
22 cutting surface 302 is mounted into the collet 202. In this embodiment,
23 the collet 202 is mounted on the end of a flexible shaft handle 203,
24 which may be mounted to a motor. The adaptive base 403 is installed
25 over the flexible shaft handle 203 and tightly secured in place by the
26 lower pair of setscrews 405L followed by tightening the upper pair of set
27 screws 405U. Figure 1 E shows the exposed portion of the cutting
28 surface 302 surrounded by the hand piece 401 and external guard 406
29 fully assembled.

1 The external guard 406 may be fabricated with second wall
2 409 forming an intake orifice 410 at a preselected position near the
3 cutting surface 302. A vacuum channel 408, which in the preferred
4 embodiment is a hollow tube, may be mounted or fabricated within the
5 external guard 406, positioned essentially parallel to the handpiece 401
6 and in communication with the orifice 410. As the channel 408 has one
7 end making a connection with the intake orifice 401 formed by second
8 wall 409, the channel 408 provides an open passage way for sucking out
9 dust and debris created during use of the cutting surface 302 upon the
10 condition of a vacuum source attached to the end of channel 408 remote
11 from the intake orifice 410.

12 In Figure 2 there is illustrated another embodiment of the
13 rotary tool support 301 fabricated as above with the addition of a lower
14 bearing 308 mounted within the bearing support sleeve 304 above the
15 lower shaft seal 307. However, when the shaft 303 in such a multiple
16 bearing arrangement is mounted within the collet 202 of the flexible
17 shaft handle 203, should the flexible shaft handle 203 also be fabricated
18 with a multiple bearing arrangement, a misalignment of the bearings of
19 the flexible shaft handle 203 and the bearings supporting shaft 303 may
20 occur to cause excessive wear on one or more of the bearings or may
21 cause the arrangement to bind and not be smoothly rotatable by the
22 motor. This binding may be overcome by providing a means to adjust
23 the alignment of the bearings.

24 If the lower bearing 308 and external seal 307 are removed
25 to overcome any binding problem then another problem may develop.
26 During use of the arrangement, the end of the bearing support sleeve
27 304 remote from the cutting surface 302 may come into contact with the
28 collet 202 causing excessive wear to the point that the collet 202 cannot
29 be loosened for the removal of the shaft 303.

1 bearing support sleeve 304 through the bearing 305. The shaft 303
2 may be further protected by a hollow brass tube 309. A connector 311
3 attaches the end of the shaft 303 remote from the disk 310 to an adapter
4 312 which is adapted to slip directly into the end of a motor driven
5 flexible shaft that compatible with the selected motor obtained under the
6 trademark SUHNER. Another preselected shaped adapter 312 may be
7 mounted to the connector 311 to facilitate easy connection to a flexible
8 shaft compatible with the DREMMLER® motor. A latch 413 may be
9 mounted on the handpiece 402 engagable with a catch formed on the
10 end of the flexible shaft to hold the end of the flexible shaft within the
11 hand piece 402. The illustrated hand piece 402 may be re-configured by
12 replacing the cut-off disk 310 with a selected burr (cone, cylinder or ball)
13 and used for care and maintenance, particularly in the front portions of
14 the horse's mouth.

15 A flange 411 may be added to the hand piece 402 to provide
16 room for a second channel that functions as a vacuum channel 408.
17 This second channel is fabricated within the handpiece 402 and flange
18 411. An orifice 410 of a preselected shape may be fabricated in the
19 handpiece 402 near the cutting surface of the rotating tool 310. The
20 vacuum channel 408 is fabricated to have one end in communication
21 with the orifice 410 and the other end adapted to be connected to a
22 vacuum source. The vacuum channel 408 provides a hollow pathway
23 starting from the orifice 410 for the removal of debris through the second
24 channel upon connection to a vacuum source. Some segment of the
25 second channel for the vacuum path and the first channel for the shaft
26 within the shaft support may be in common before being bifurcated.
27 Figure 4 D depicts how the flange 411 is held within the handpiece 402
28 with a snug fitting tongue and groove arrangement 412 and kept in place
29 by a set screw 405. In the preferred embodiment the snug fit eliminates

1 the need for a gasket to maintain sufficient vacuum differential to suck
2 out dust and debris.

3 Figure 5 depicts a hand piece generally designated 401
4 fabricated according to the teachings of this invention. The arrangement
5 illustrated in Fig. 5 is shaped and sized for maintenance of the incisor
6 teeth of the horse. The exposed portion of the cutting surface 302 is
7 minimized by fabricating the outer capped top 402 of the handpiece 401
8 to be close fitting and encircling a large portion of the cutting surface
9 302. This minimizes the opportunity for the fleshy parts of the horse's
10 mouth to become entangled between the cutting surface 302 and the
11 handpiece 401. The edges 414 of the hand piece 401 below the cutting
12 surface 303 are shaped to provide a smooth slightly curved surface that
13 slips smoothly over the teeth and allows the exposed cutting surface to
14 be forcibly pressed against the selected area of the tooth with minimal,
15 non-interfering contact of the hand piece 401 with the teeth.

16 The close fitting of the cutting surface to the handpiece 401
17 is achieved in the preferred embodiment by fabricating the base 415 of
18 the handpiece 401 as illustrated in Figures 6 F and 6 B. In the preferred
19 embodiment, the base 415 is machined of aluminum bar stock to form a
20 rounded cap 416 on one end and access channel 417 open on the other
21 end. Wall 407 is shaped to form an encircling guard around a portion of
22 the cutting surface thereby exposing only a selected portion of the
23 cutting surface near the rounded cap 416. Wall 407 extends away from
24 the rounded cap 416 and toward the access channel 417 to form a first
25 channel for the shaft 303 mounted within the shaft support sleeve 304
26 as illustrated in Fig 10. This shaft channel is bifurcated below the
27 exposed cutting surface to communicate with a second channel 408,
28 which is part of the vacuum path. Second wall 409 forms an orifice 410
29 near the cutting surface. A flange mount 418 is formed as shown in
30 Fig. 6 B as a grooved opening wherein the flange 411 may be mounted.

1 The flange 411 illustrated in Figures 7 F and 7 B is a support for a
2 hollow tube 420 one end of the tube 420 communicating with the
3 vacuum channel 408 and the other end extending beyond the flange 411
4 and attachable to a vacuum source. The edges 419 formed around the
5 periphery of the flange 411 are shaped as a tongue surface engagable
6 with the groove formed in the periphery of the flange mount 418 making
7 a snug fitting tongue and groove arrangement 412, snug enough to
8 prevent dissipation of the vacuum pressure so as to not decrease the
9 suction of dust and debris from inside the mouth of the horse passing
10 along the path from the orifice 410 through the vacuum channel 408
11 and hollow tube 420 to the vacuum source, a SHOP VAC® with
12 appropriate hoses 431 similar to that illustrated in Figure 6 H. The
13 vacuum hoses 431 which join the end of the hollow tube 420 to the
14 vacuum source may be tied to the powered flexible shaft so that as the
15 user moves the hand piece, the line providing the rotational power and
16 the line providing the vacuum source move with the hand piece as a
17 unit.

18 A latch 413 may be mounted in the base 415 at a position to
19 engage a catch mounted on the flexible shaft to secure the flexible shaft
20 with the base 415.

21 Figure 8 is a cross section of the base 415 with flange 411
22 mounted therein by the tongue and groove 412.

23 Figure 9 is a cross section of the base 415 with flange 411
24 installed. A third wall forms a bearing support channel 421 starting
25 near this position and extending in the direction of the rounded cap 416
26 until it intersects with wall 407.

27 Figure 10 illustrates a bearing support sleeve 304 with a
28 bearing 305 and upper shaft seal 306 mounted within one end.

29 To assemble the arrangement, a selected tool comprised of a
30 cutting surface 302 and shaft 303 is inserted through the base 415

1 starting at guard 407 and then into the bearing support channel 421.
2 The bearing support sleeve 304 is then inserted into the bearing support
3 channel 421 engaging the shaft 303 through the bearing 305 so that the
4 shaft 303 extends beyond the end of the bearing support sleeve 304
5 remote from the bearing. The bearing support sleeve 304 is secured in
6 place within the bearing support channel 421 by setscrews 405. Now
7 referring to Figure 5 C, a connector 311 being first mounted to an
8 adapter to a flexible shaft 312, is mounted on the extended end of the
9 shaft 303. The adapter 312 being secured in place by a setscrew 405.

10 Figure 11F illustrates the base 415 of an extended
11 arrangement sized for reaching the rear molars inside the horse's mouth
12 and fabricated according to the teaching of the invention. In the
13 preferred arrangement, the base 415 is 14 inches long. This additional
14 length requires the shaft 303 attached to the cutting surface 302, the
15 bearing support sleeve 304, flange 411, and hollow tube 420 illustrated
16 in Fig. 11, to also be proportionally longer. These items may be extended
17 as illustrated in Figure 11 E. A connector 311 attaches shaft extension
18 313 to the shaft 303 of the cone shaped cutting surface 302.

19 An additional setscrew 405 may be used to secure the longer
20 bearing support sleeve 304. The orifice 410 formed by wall 409 at the
21 front end of the vacuum channel 408 remains similar as it is sized in
22 relationship to the cutting surface 302.

23 Figures 12 F and 12 B illustrate the assembled extended
24 arrangement having a base 415 approximately 14 inches long supporting
25 a rotary tool having a cutting surface 302 and shaft 303 mounted within
26 a bearing support sleeve 304. A connector 311 with adapter to flexible
27 shaft 312 is mounted on the end of the shaft 303 remote from the
28 cutting surface 302.

29 Because the back of the horse mouth is surrounded by
30 fleshy material, the rounded cap 416 of the base 415 may provide

1 inadequate separation between the cutting surface 302 and the fleshy
2 material. An external guard 406 may be mounted on the base 415 to
3 enhance the separation of the fleshy material from the cutting surface
4 302. Posts 422 are mounted near the cutting surface 302. Figures 12
5 A, B and C illustrate three shapes, left, right and balanced, respectively
6 of a type of extended guard 406 which may be removably attached to the
7 base 415 to provide extra separation between the fleshy material and the
8 cutting surface 302. Each extended guard 406 is fabricated with walls
9 423 forming holes engagable with the posts 422. Wall 424 forms an
10 opening to expose the cutting surface 302 and wall 425 forms an
11 opening communicating with the orifice 410. Wall 426 forms a shallow
12 channel in the base 415 into which the lower edge 427 of the extended
13 guard 406 may be inserted. Wall 431 forms a retaining hole in each
14 side of the base 415. A wedge arrangement 428 having an offset head
15 429 and a pin 430 is insertably removable by pin 430 into a selected
16 retaining hole 431 whereby the wedge arrangement 428 is rotated by
17 handle 432 to a position wedging the extended guard 406 securely into
18 place by means of the offset head 429.

19 An attachable handle generally designated 501 is illustrated
20 by Figure 13. This handle may be mounted on the remote end of the 14
21 inch base 415 like a pistol grip to provide a leveraged advantage
22 especially for inserting and guiding the extended arrangement assembled
23 to the rear molars for removing tooth material. This handle incorporates
24 a clamp 502 removably mountable over the end of the base 415 to a
25 position remote from the cap 416. Walls 503 form a threaded hole in the
26 clamp 502 that accepts an extended screw 504 which upon being
27 threaded into threaded hole 503 secures the handle 501 in place as well
28 as preventing movement of clamp 502.

29 Other arrangements that are especially useful for the care of
30 the rear molars is illustrated in Figure 14 A and 14 B. As shown in

1 Figure 15, the base 415 providing support for the shaft 303 within
2 bearing support sleeve 304, all similar to the above embodiments but a
3 set of gears 428 are mounted on the shaft 303 to change the profile of
4 the shaft 303 by ninety degrees. This embodiment is particularly useful
5 with the cut-off disk 310 mounted therein in a position which is
6 essentially horizontal. The cut-off disk can be easily positioned to score
7 a portion of a tooth to be chipped off or used to polish and smooth
8 selected teeth even in the rear portions of the horse's mouth.

9 The arrangement illustrated in Figure 14 B is fabricated
10 according to the above teaching but incorporates a set of gears 428
11 mounted within the base 415. The gears 429 are adapted to change the
12 rotational motion of the shaft 303 to a reciprocating motion. In the
13 preferred embodiment, the reciprocating motion is approximately 1/4
14 inch back and forth. A tool pad 430 is removably attachable to a
15 reciprocating tool handle 429. The tool pad 430 has a flat cutting
16 surface and is particularly useful for the care and maintenance of the
17 rear most molars in the horse's mouth. The hand piece 415 may be
18 pistol shaped to supply leverage and to provide adequate mounting for
19 the set of gears 428 within the hand piece 415 at a point that is not
20 inserted into the mouth of the horse.

21 The units in the preferred embodiment are fabricated of a
22 preselected material such as aluminum, chosen to be lightweight,
23 strong, easily machined and able to function in a wet environment. The
24 surface of the aluminum may be anodized to protect the material from
25 corrosion. A lightweight urethane material is preferred for the slip on
26 extended guard 406 shown in Figure 1 C.

27 Figure 16 is a schematic representation of the power train
28 generally designated 201. The basic configuration is a selected motor
29 101. The tools may be mounted directly onto the shaft of the motor 101
30 or separated from the motor 101 by a flexible shaft 204 as discussed

1 above. Both configurations provide a direct connection between the
2 motor 101 and the cutting surface 302 of the tool. In the preferred
3 embodiment of the power train 201, an adjustable torque clutch 206 is
4 included. Should the preselected torque of the clutch 206 be exceeded
5 during use of the arrangement fabricated according to the teachings of
6 this invention, the clutch 206 will disengage the powered motion of the
7 motor 101 from the tool thereby minimizing possible injury to the horse
8 or user and allow the user to safely clear any obstruction of the
9 arrangement before continuing use.

10 Figure 17 illustrates a clutch 206 having a set of clutch
11 plates 207, a torque adjustment knob 208 that sets the tension between
12 the clutch plates 207. An end adapter 209 compatible with the flexible
13 shaft 204 is mounted on the clutch 206 remote from the motor 101. The
14 clutch 206 is mounted within the collet 202 of the motor 101.

15 A clutch housing 210 is fabricated to slip over the clutch
16 206 and onto the motor 101 to a position whereby the end adapter 209
17 is engagable by the end of the flexible shaft 204 which is mounted within
18 the clutch housing 210. A sliding window 211 may be mounted on the
19 clutch housing 210 to allow easy access by the user to the torque
20 adjustment knob 208.

21 Figure 18 illustrates a collar 212 fabricated from stainless
22 steel and mounted on the flexible shaft 204 remote from the end of the
23 flexible shaft mounted to the clutch housing 210. The collar 212 is
24 fabricated with a catch 213 engagable by the latch 413 mounted on the
25 base 415 of the hand piece 401 when the collar 212 is inserted within
26 access channel 417. The rotational motion of the motor 101 is
27 selectively, interruptably transmitted to the clutch 206, through the
28 flexible shaft 204 engagable with the flexible shaft adapter 312 to the
29 cutting surface 302.

1 Since certain change may be made in the above apparatus
2 without departing from the scope of the invention herein involved, it is
3 intended that all matter contained in the above description, as shown in
4 the accompanying drawing, shall be interpreted in an illustrative, and
5 not a limiting sense.

1 WHAT IS CLAIMED IS:

2 1. An arrangement of a tool insertable into the mouth of a horse for the
3 care and maintenance of teeth while providing protection of soft
4 tissue within the mouth of the horse and comprising in combination:
5 an electric rotary motor having a means to hold said tool along the axis
6 of rotation of said motor, said tool having a tooth cutting surface of
7 a preselected size and shape;

8 a shaft having one end mounted to said cutting surface and the other
9 end attachable to said motor holding means thereby supplying
10 rotational motion to said tool;

11 a shaft support means through which said shaft may be removably
12 inserted;

13 a hand piece having a channel through which said shaft support means
14 is removably insertable; and,

15 a cutting surface guard fabricated as a portion of said hand piece and
16 shaped to be in encircling relation about a selected portion of said
17 cutting surface thereby exposing only a portion of said cutting
18 surface under the condition of said shaft support means, having
19 said shaft inserted therein, is mounted within said shaft support
20 channel of said hand piece and said shaft engaged within said
21 holding means thereby allowing a user of the arrangement to guide
22 said hand piece containing the partially guarded tool into the
23 mouth of the horse to separate said soft tissue from a preselected
24 portion of a tooth with said cutting surface guard and position the
25 unguarded portion of said cutting surface against a tooth to remove
26 a selection portion of said tooth by means of said tool in rotary
27 motion.

28
29 2. The arrangement defined in claim 1 wherein said shaft support
30 means further comprises a bearing mounted at a preselected position

1 within said shaft support means and a bearing seal mounted at a
2 position between said bearing and said cutting surface through which
3 said shaft may be inserted and supported for rotary motion without
4 binding.

5

6 3. The arrangement defined in claim 2 further comprising a brass sleeve
7 mountable around said shaft under the condition of said shaft being
8 inserted through said bearing and bearing seal into said shaft
9 support means, said brass sleeve providing separation between said
10 shaft and said shaft support means.

11

12 4. The arrangement defined in claim 1 further comprising a flexible
13 shaft having one end adaptively mountable to said motor thereby
14 supplying rotational motion to said flexible shaft and the other end
15 having a means to hold said tool along the axis of rotation of the
16 flexible shaft thereby separating said motor from said tool so that said
17 motor may be supported at a position remote from said tool.

18

19 5. The arrangement defined in claim 1 further comprising preselected
20 sized and shaped extended guards mountable to said cutting surface
21 guard to provide additional separation between said cutting surface
22 and said soft tissue within the mouth of the horse.

23

24 6. The arrangement defined in claim 1 wherein said hand piece further
25 comprises an orifice formed near said cutting surface and a second
26 channel one end in communication with said orifice, the other end
27 adapted to be removably attachable to a vacuum source whereby the
28 dust and debris created by the removal of a selected portion of a tooth
29 may first enter said orifice and then said second channel to be sucked
30 out of the mouth of the horse and deposited into said vacuum source.

PRINTED IN U.S.A. ON RECYCLED PAPER BY RICOH

1

2 7. The arrangement in claim 2 wherein said shaft support means further

3 comprises gearing means mounted within said shaft support means

4 and in communication with said shaft to change the rotational

5 motion of said shaft attached to said motor holding means into

6 reciprocating motion which may be applied to said cutting surface

7 mounted on said shaft remote from said gearing means.

8

9 8. The arrangement in claim 2 wherein said shaft support means further

10 comprises gearing means mounted within said shaft support means

11 and in communication with said shaft to change the profile of the

12 shaft by a preselected angle thereby increasing the range of

13 placement of said cutting surface of said tool.

14

15 9. The arrangement in claim 4 wherein said adaptive mounting of said

16 flexible shaft is to a motor owned by the user.

17

18 10. The arrangement in claim 4 wherein said means to hold said tool is

19 a handle owned by the user, said flexible shaft having means to

20 adaptively mount said handle on the end of said flexible shaft under

21 the condition of said shaft mounted within said handle.

22

23 11. The arrangement in claim 4 further comprising a clutch mounted

24 with one end in communication with said motor and another end

25 remote from said motor in communication with said flexible shaft

26 thereby providing interruptible transmission of motion from said

27 motor to said cutting surface in communication with said flexible

28 shaft.

29

1 12. The arrangement in claim 11 wherein said clutch further comprises
2 means to adjust the threshold of torque at which said motion is
3 interrupted.

4

5 13. The arrangement in claim 12 further comprising a clutch housing
6 mountable to said motor thereby enclosing said clutch and having a
7 mounting to retain one end of said flexible shaft in communication
8 with said clutch, said clutch housing having an means for access by
9 the user to the means to adjust the torque.

10

11 14. The arrangement in claim 1 wherein said hand piece and guard are
12 fabricated from aluminum.

13

14 15. The arrangement in claim 14 wherein the exposed surfaces of said
15 aluminum are anodized.

16

17 16. An electric motor powered arrangement insertable into the mouth of
18 a horse for the care and maintenance of equine teeth while providing
19 protection of soft tissue within the mouth of the horse and
20 comprising in combination:
21 a tool having a tooth material removal surface;
22 a shaft having a first end mounted to said tool and a second end
23 attachable to said electric motor whereby said tooth material
24 removal surface has a powered motion;
25 a hand piece fabricated with an internal shaft channel;
26 a bearing support sleeve;
27 at least one bearing mounted within said support sleeve at a
28 preselected position whereby said bearing accepts the insertion of
29 said shaft through said bearing thereby exposing the end of said
30 shaft remote from said tooth removal surface, said bearing support

1 sleeve mounted with said internal shaft channel whereby said
2 exposed end of said shaft is attachable to said electric motor, said
3 bearing providing support for said shaft under the condition of said
4 tooth material removal surface tool being guided into contact with a
5 preselected tooth and pressed against the tooth until a preselected
6 portion of the tooth is removed while said tooth material removal
7 surface is under powered motion;

8 a protective shield fabricated as part of said hand piece at a
9 preselected position and shaped to expose a preselected portion of
10 said tooth material removal surface of said tool retained within said
11 hand piece, said exposed portion guided into contact with a
12 preselected portion of the tooth whereby the remaining non-exposed
13 surface is separated from other portions of the horses mouth
14 including said soft tissue; and,

15 a sleeve mountable over said shaft within said shaft hand piece
16 whereby said sleeve provides additional bearing means between said
17 shaft and said hand piece without binding.

18

19 17. The arrangement defined in claim 16 wherein said bearing support
20 sleeve means further comprises a bearing mounted at a preselected
21 position within said bearing support sleeve and a bearing seal
22 mounted at a position between said bearing and said cutting surface
23 through which said shaft may be inserted and supported for rotary
24 motion without binding.

25

26 18. The arrangement defined in claim 16 further comprising a flexible
27 shaft having one end adaptively mountable to said motor thereby
28 supplying rotational motion to said flexible shaft and the other end
29 having a means to hold said tool along the axis of rotation of the

1 flexible shaft thereby separating said motor from said tool so that
2 said motor may be supported at a position remote from said tool.
3

4 19. The arrangement defined in claim 16 further comprising preselected
5 sized and shaped extended guards mountable to said cutting
6 surface guard to provide additional separation between said cutting
7 surface and said soft tissue within the mouth of the horse.
8

9 20. The arrangement defined in claim 19 wherein said extended guard
10 further comprises an orifice formed near said cutting surface and a
11 vacuum channel one end of which is in communication with said
12 orifice, the other end of said vacuum channel adapted to be
13 removably attachable to a vacuum source whereby the dust and
14 debris created by the removal of a selected portion of a tooth may
15 first enter said orifice and then said channel to be sucked out of the
16 mouth of the horse and deposited into said vacuum source.
17

18 21. The arrangement in claim 16 wherein said bearing support sleeve
19 further comprises gearing means mounted within said bearing
20 support sleeve and in communication with said shaft to change the
21 rotational motion of said shaft attached to said motor holding means
22 into reciprocating motion which may be applied to said cutting
23 surface mounted on said shaft remote from said gearing means.
24

25 22. The arrangement in claim 16 wherein said bearing support sleeve
26 further comprises gearing means mounted within said bearing
27 support sleeve and in communication with said shaft to change the
28 profile of the shaft by a preselected angle thereby increasing the
29 range of placement of said cutting surface of said tool.
30

1 23. The arrangement in claim 18 wherein said adaptive mounting of
2 said flexible shaft is to a motor owned by the user.

3

4 24. The arrangement in claim 18 wherein said means to hold said tool is
5 a handle owned by the user, said flexible shaft having means to
6 adaptively mount said handle on the end of said flexible shaft under
7 the condition of said shaft mounted within said handle.

8

9 25. The arrangement in claim 18 further comprising a clutch mounted
10 with one end in communication with said motor and another end
11 remote from said motor in communicated with said flexible shaft
12 thereby providing interruptible transmission of motion from said
13 motor to said cutting surface in communication with said flexible
14 shaft.

15

16 26. The arrangement in claim 25 wherein said clutch further comprises
17 means to adjust the threshold of torque at which said motion is
18 interrupted.

19

20 27. The arrangement in claim 26 further comprising a clutch housing
21 mountable to said motor thereby enclosing said clutch and having a
22 mounting to retain one end of said flexible shaft in communication
23 with said clutch, said clutch housing having an means for access by
24 the user to the means to adjust the torque.

25

26 28. The arrangement in claim 16 wherein said hand piece and guard are
27 fabricated from aluminum.

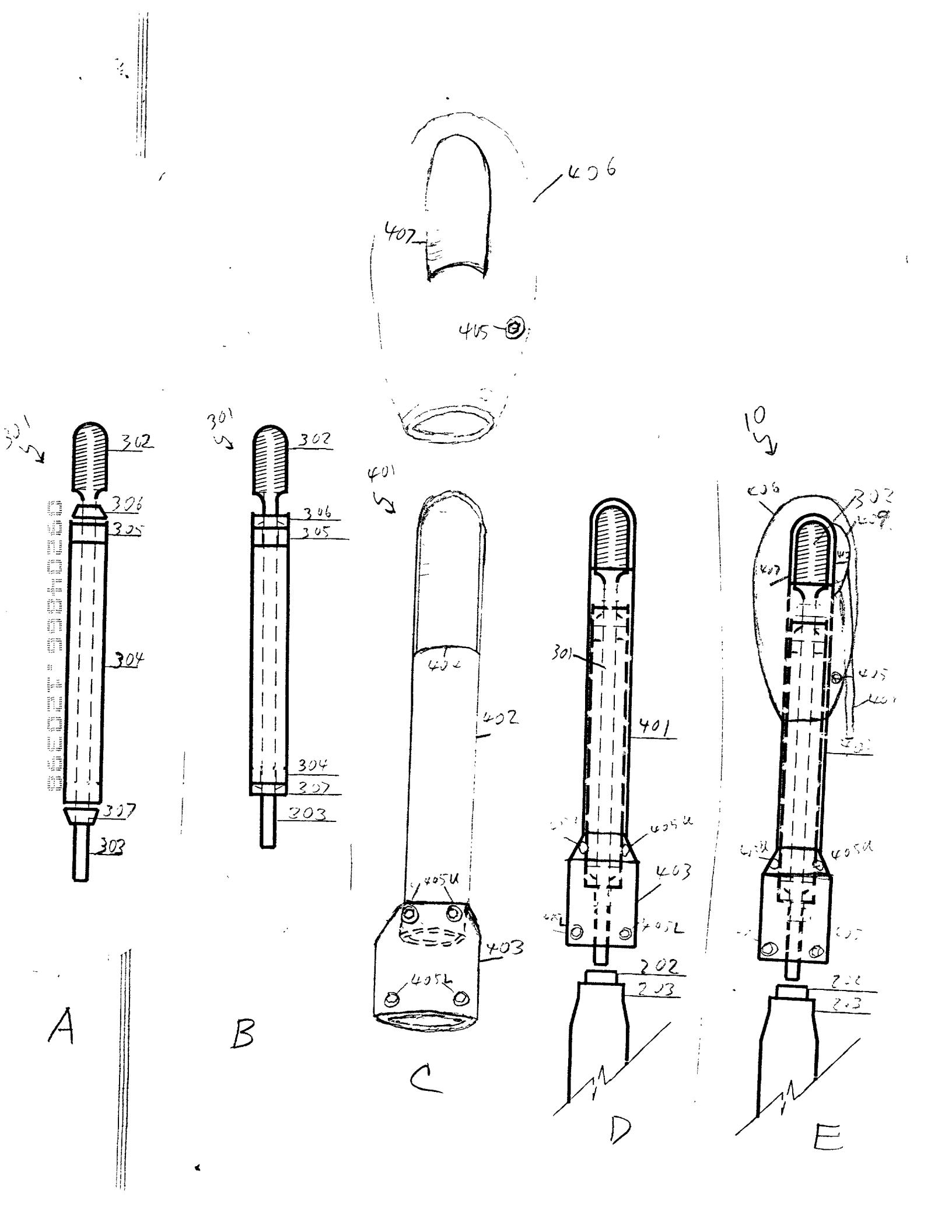
28

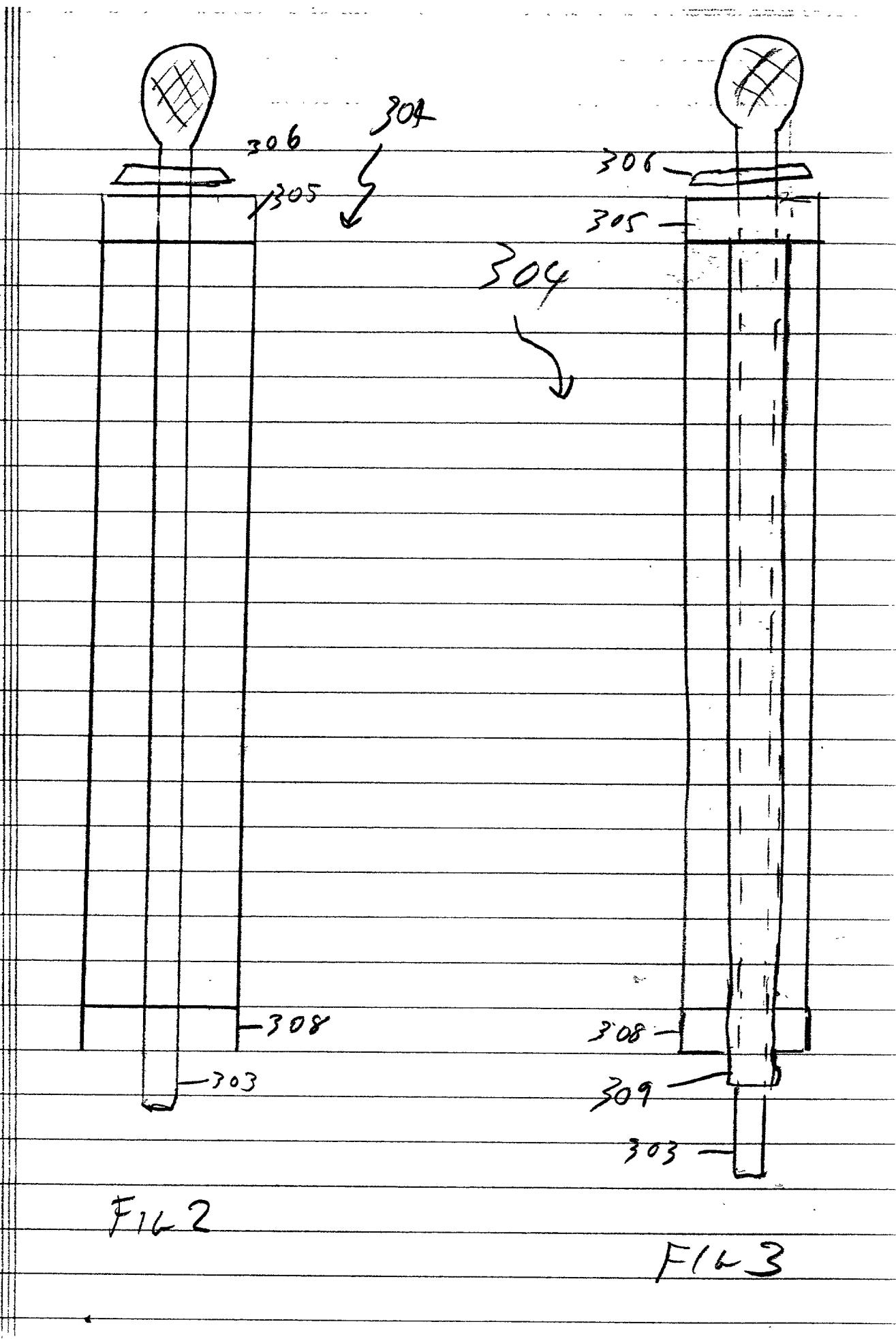
29 29. The arrangement in claim 28 wherein the exposed surfaces of said
30 aluminum are anodized.

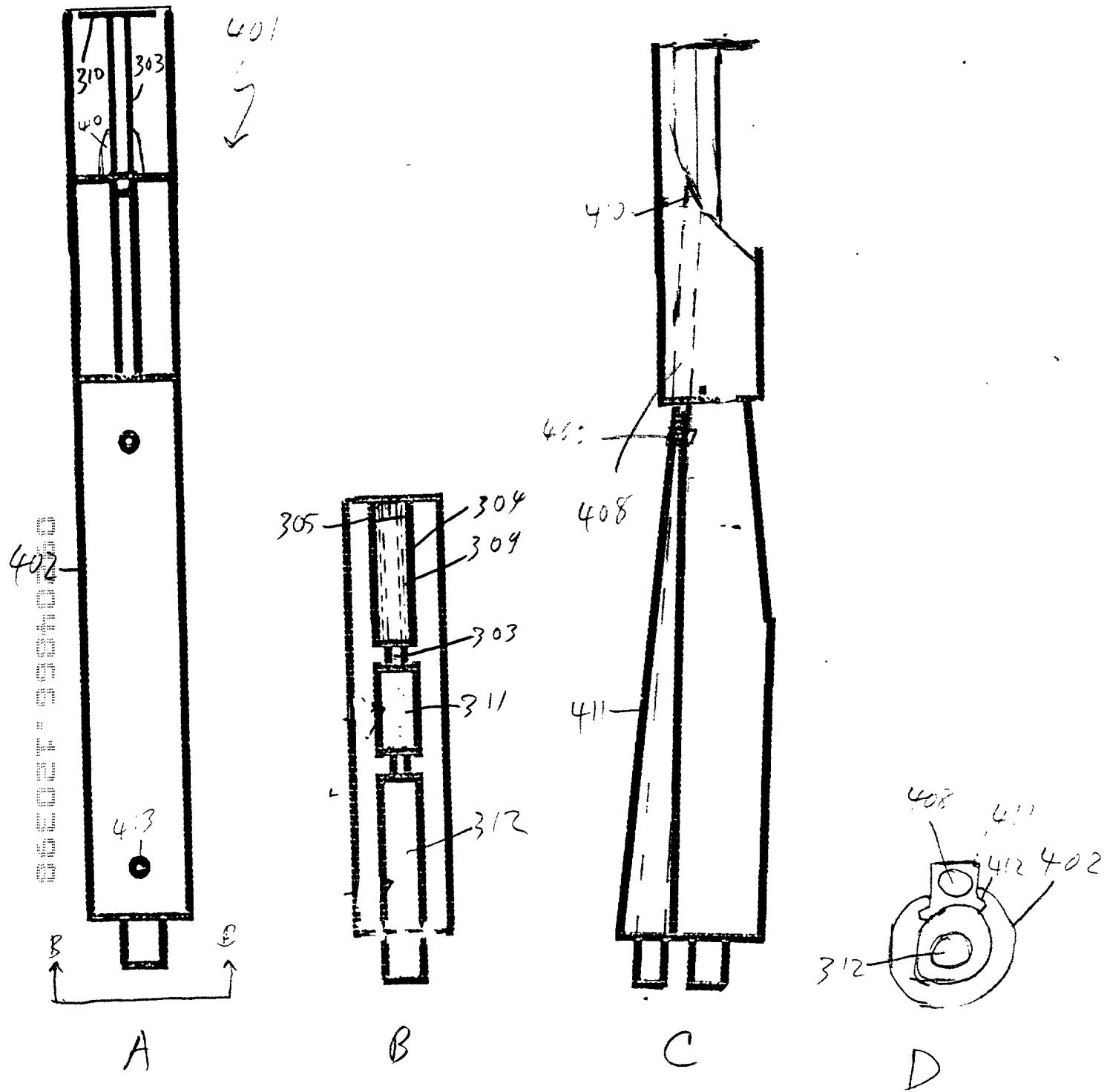
1 ABSTRACT OF THE DISCLOSURE

2 An arrangement that may be used in combination with
3 selected tools having a tooth cutting surface for the care and
4 maintenance such as removing a selected portion of the exposed surface
5 of equine teeth. The selected tool in communication with a source of
6 powered motion and mounted in and supported by a hand piece which is
7 guided into the mouth of the horse. The cutting surface of the powered
8 tool is partially guarded so as to protect fleshy portions of the horse's
9 mouth from being engaged by the cutting surface. The hand piece
10 provides for quick on and off attachment of a selected cutting surface for
11 maintenance of a preselected portion of teeth within the same hand
12 piece or another hand piece sized to ease access to the next selected
13 portion of the horse's mouth. The selected hand piece arrangement may
14 further incorporate a vacuum channel whereby the tooth dust and
15 debris created by the powered cutting surface removing a portion of
16 tooth is sucked out of the mouth of the horse. The motion of the tooth
17 surface removal tool may be changed from rotary to powered
18 reciprocating motion for a selected portion of the teeth or changed to be
19 at a preselected angle. Attaching the powered drive to the rotary cutting
20 surface by means of an adjustable clutch further enhances protection
21 from injury to the inside of the mouth of the horse.

SEARCHED INDEXED
SERIALIZED FILED
APR 11 1991
U.S. PATENT AND TRADEMARK OFFICE
100 BUREAU BLDG. WASH. D.C. 20591







F₁ + 4

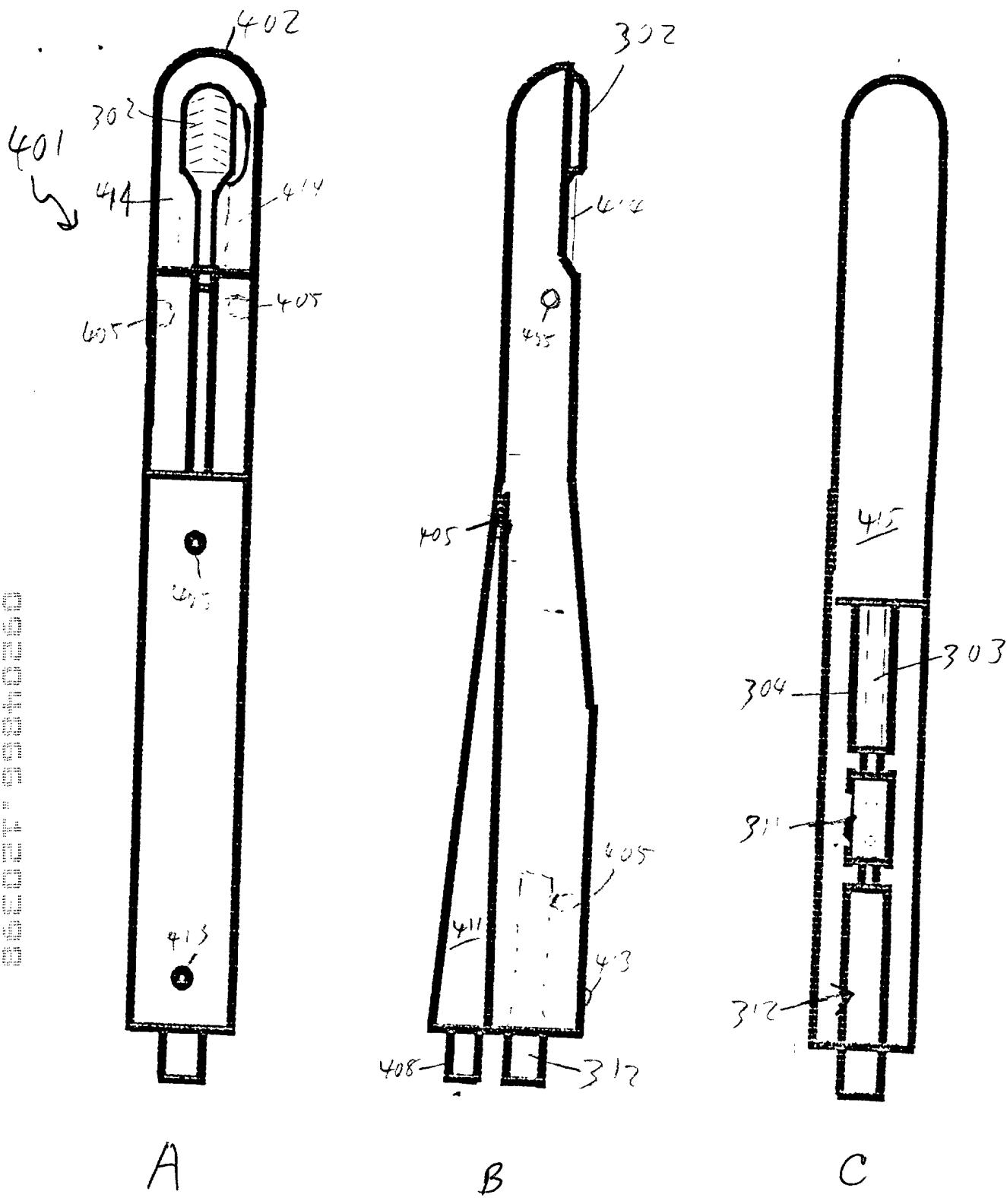
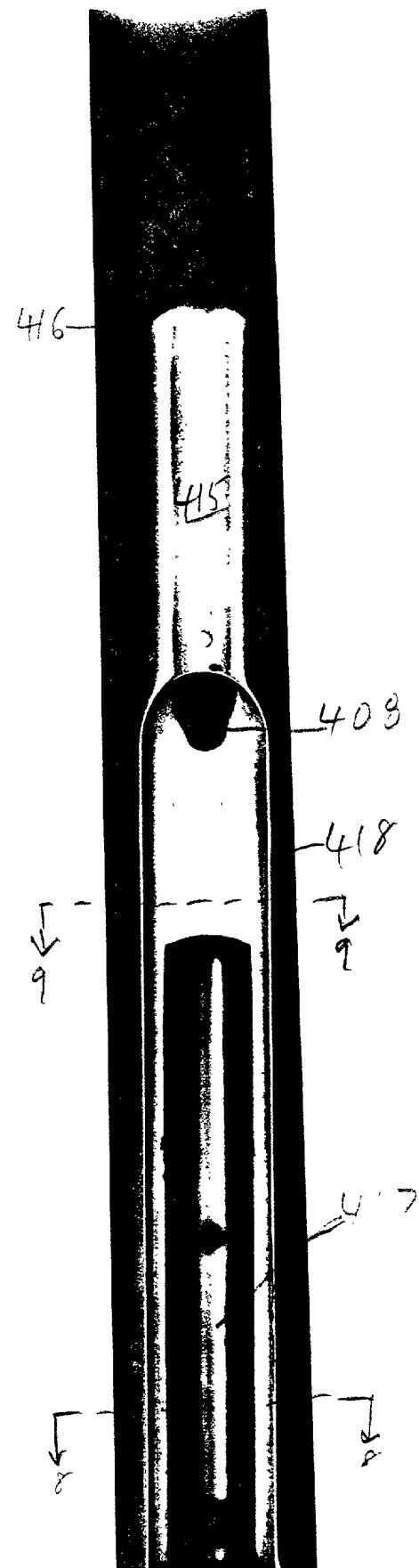
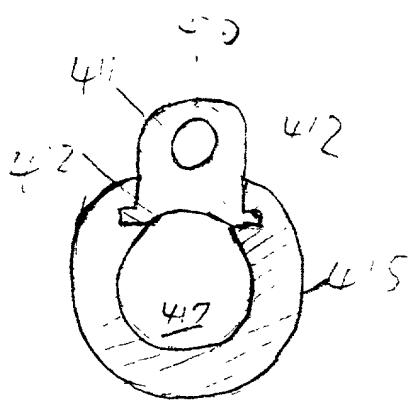
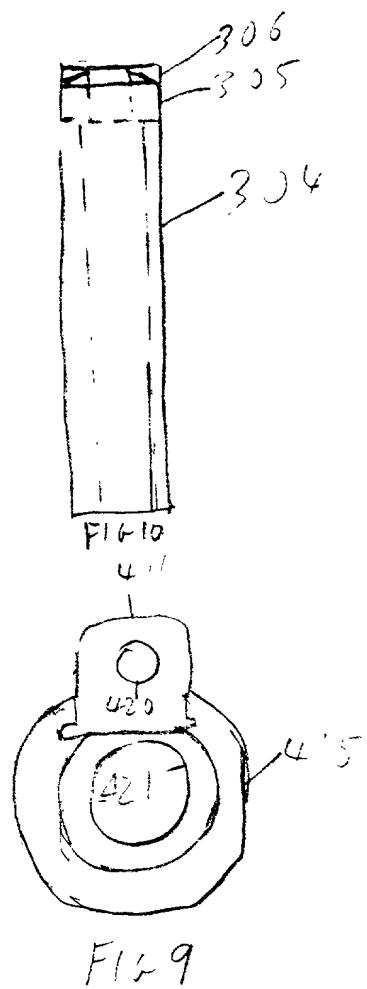


FIG 5



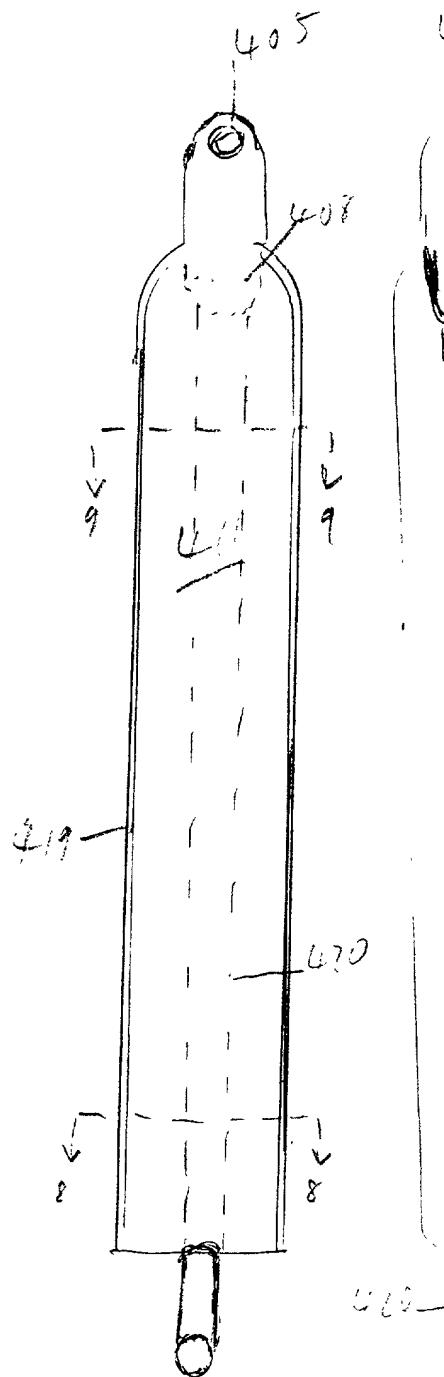
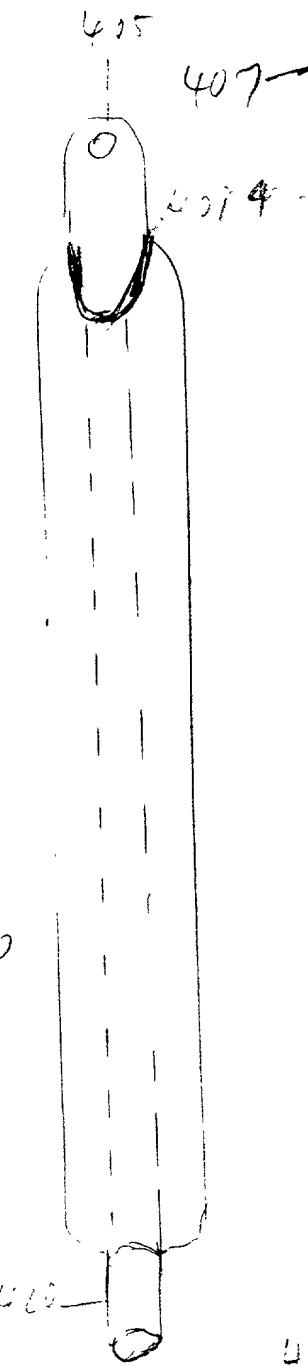


Fig 7F



78

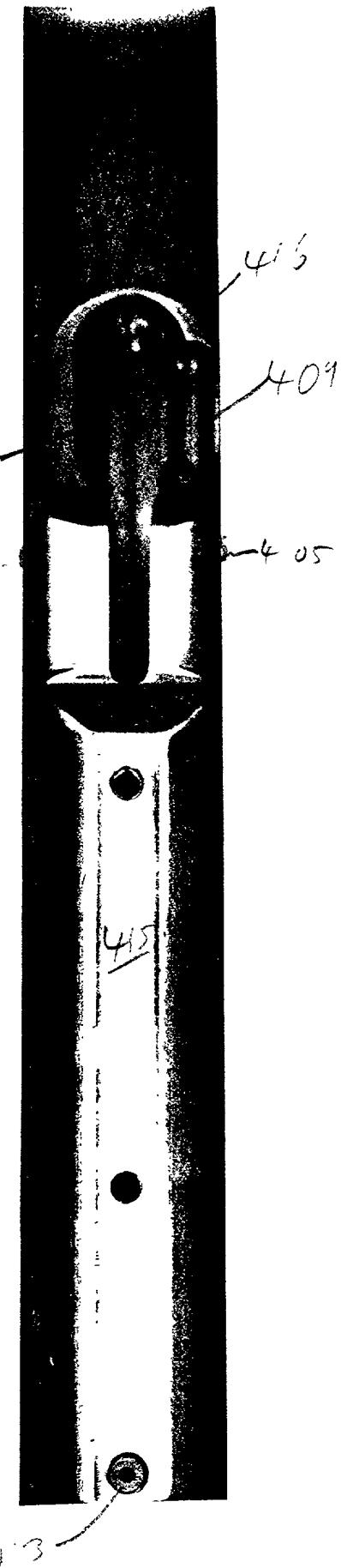


Fig 5 F

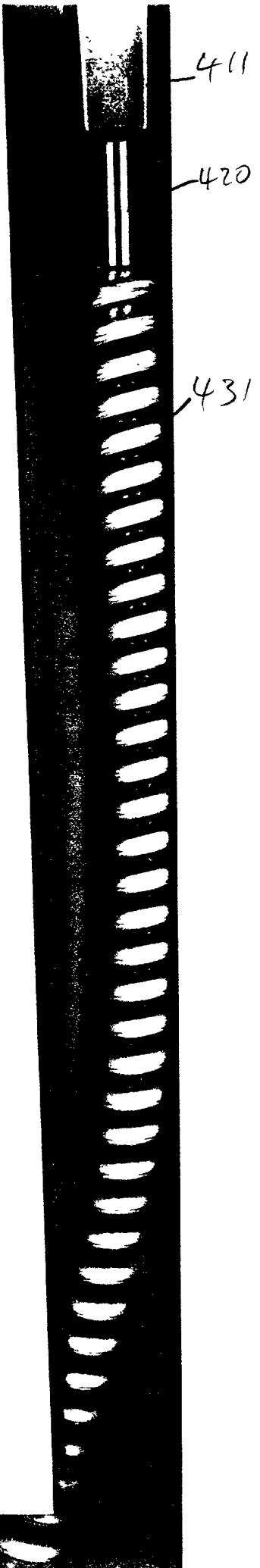
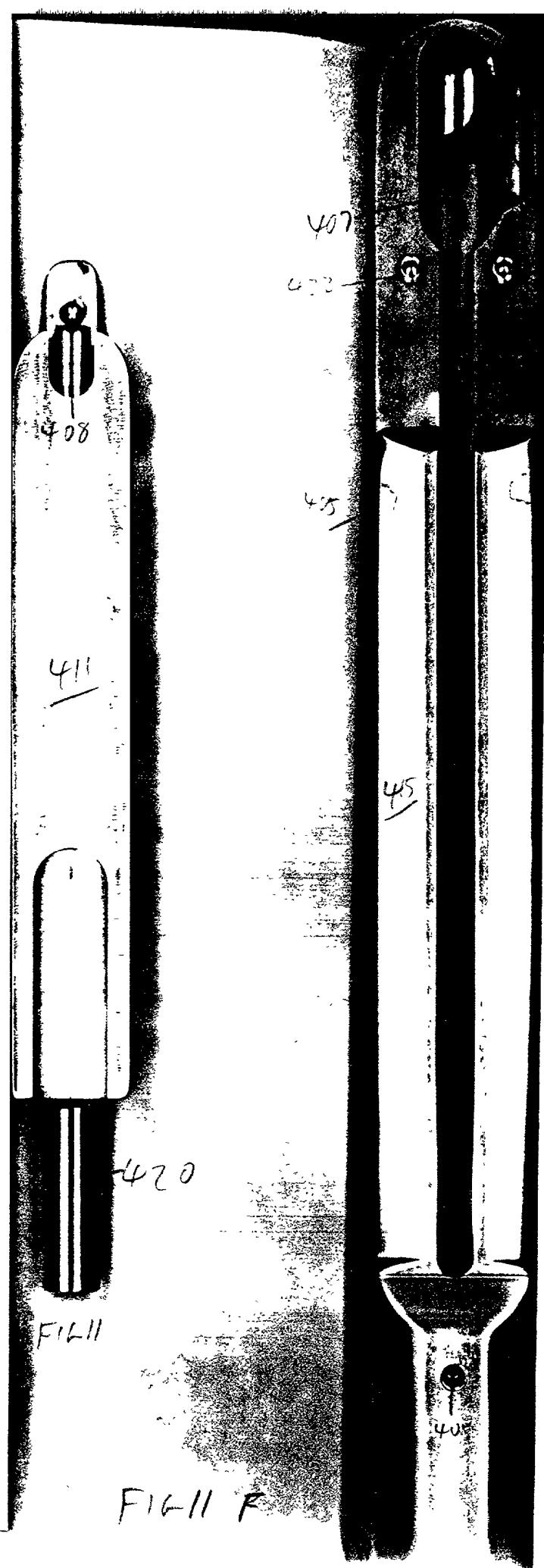
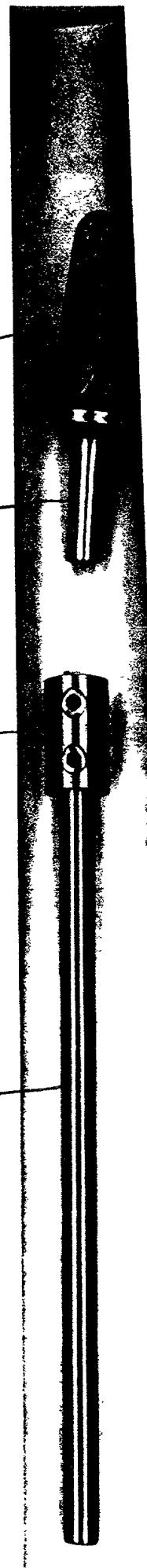


Fig 6 H



FILE

FIG 11 R



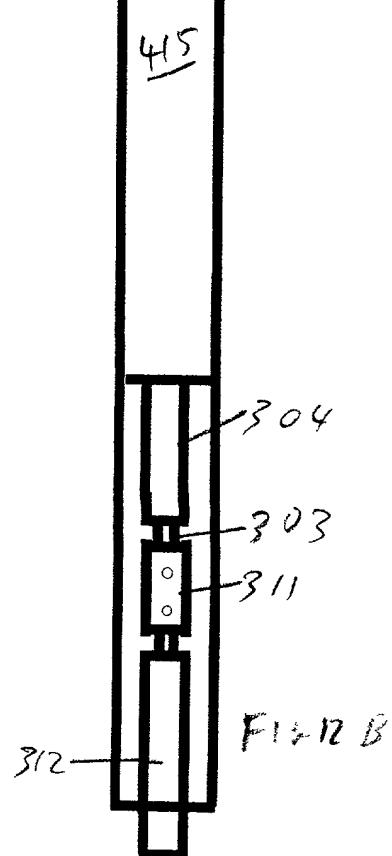
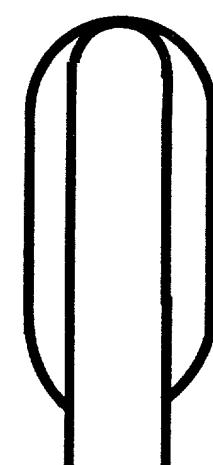
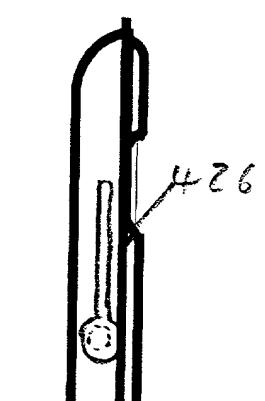
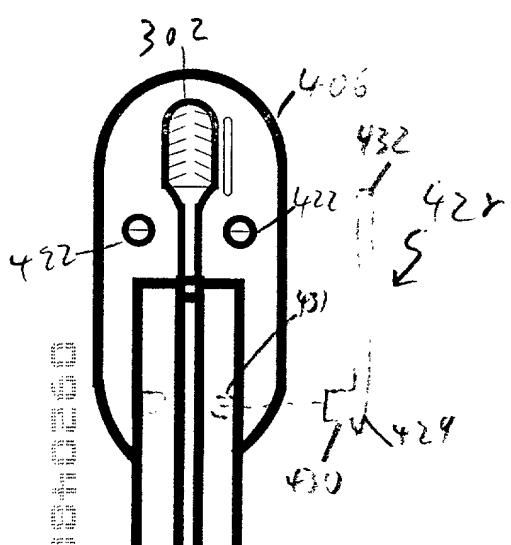
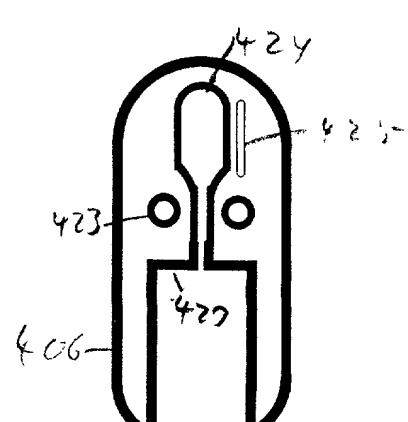
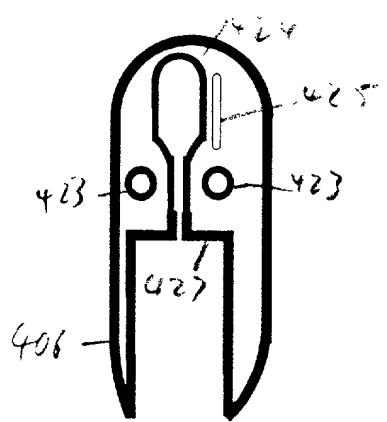
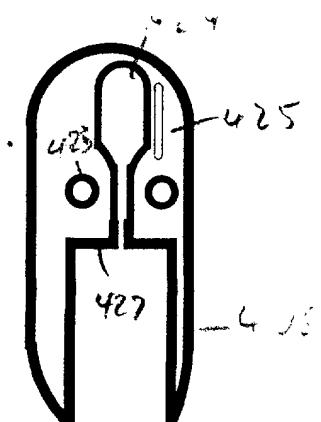
302 -

303 -

311 -

313 -

Fig 11 E



501 ~ 502
503 ~ 504

Fig 19

501 ~

503

502

504

429 Fig 14 B

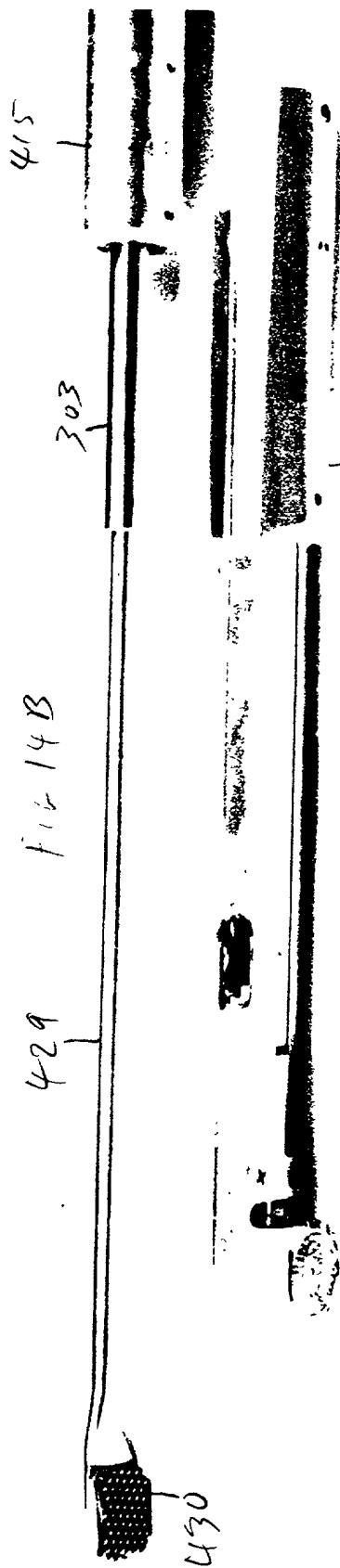
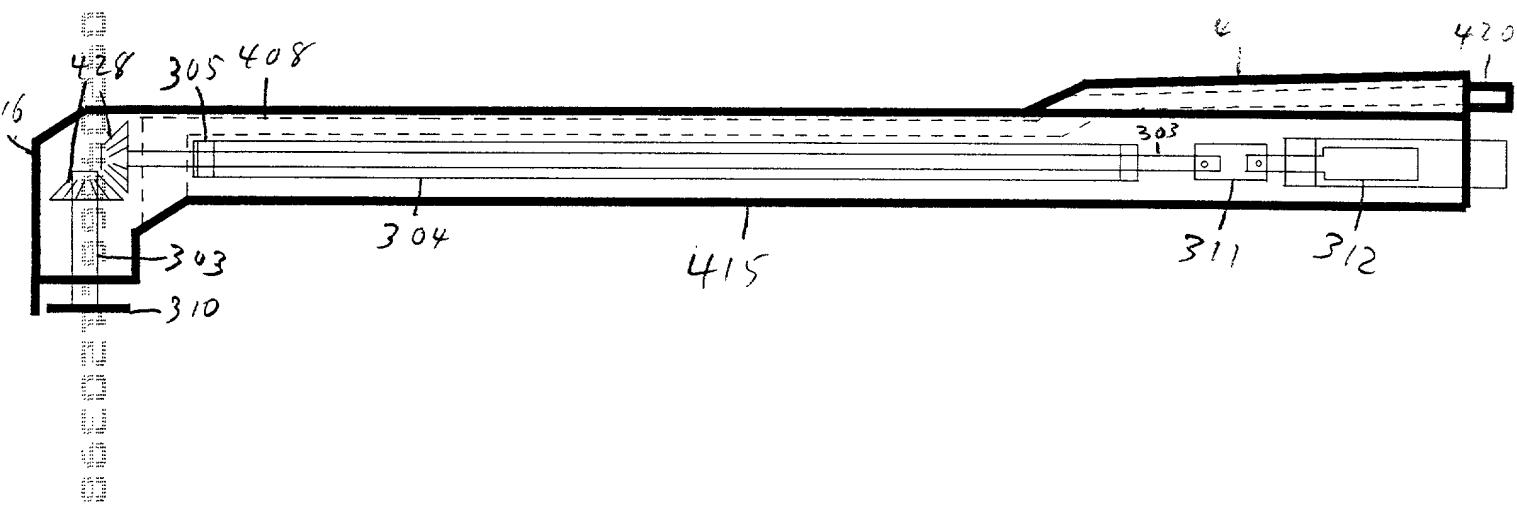


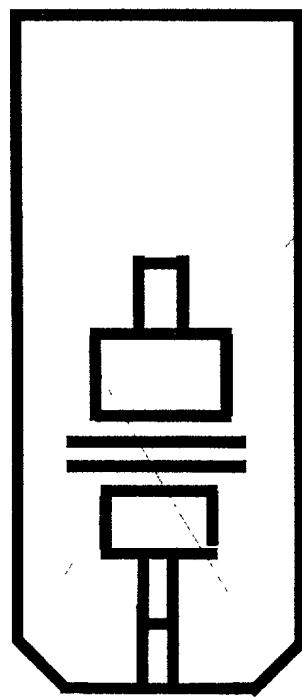
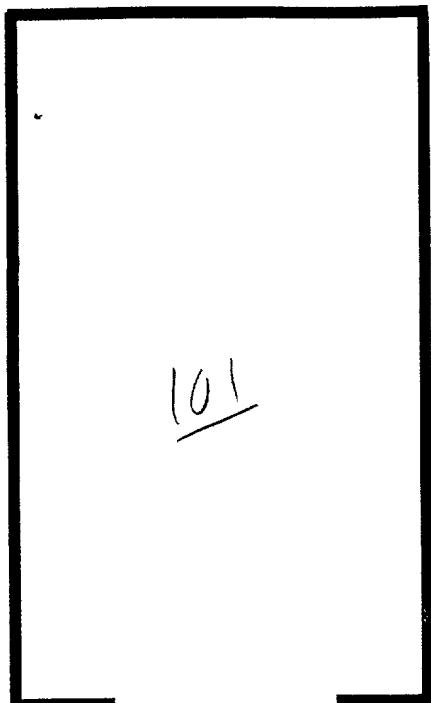
Fig 14 A

415



1 - 15

201
202
203
204
205
206
207
208
209
210
211



F 6

204

206 207 208 209 210 211 212 213 214 215

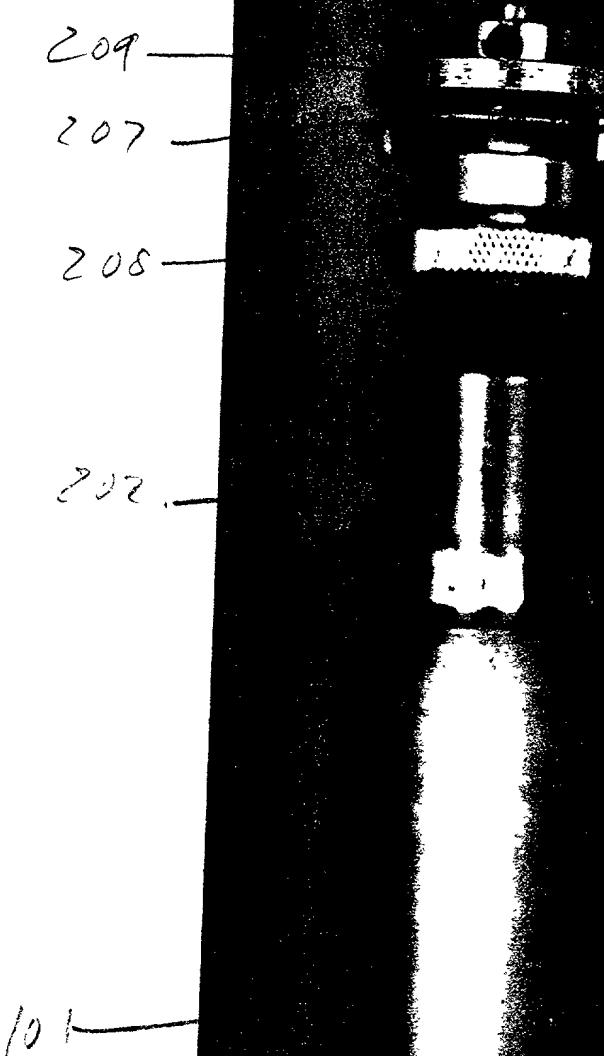


Fig 17

212—

213—

204—

F. 18

UNITED STATE PATENT AND TRADEMARK OFFICE
COMBINED DECLARATION AND POWER OF ATTORNEY
(Original, Design, National Stage of PCT, Supplemental, Divisional,
Continuation or CIP Application)

As a below named inventor, I hereby declare that:

This declaration is for the following type application:

XX: original based up a provisional patent filing
 ___: design
 ___: national stage of PCT
 ___: supplemental
 ___: divisional
 ___: continuation
 ___: continuation-in-part (CIP)

INVENTORSHIP IDENTIFICATION

My residence, post office address and citizenship are stated below next to my name. I believe I am the original, first and sole inventor (or an original, first and joint inventor) of the subject matter which is claimed and for which a patent is sought on the invention
JOHN H. CARRIGAN, JR. STICKING WITH
entitled: EQUINE ROTARY BURR PROTECTIVE GUARD *for*

Equine Tack

SPECIFICATION IDENTIFICATION

the specification of which:

XX: is attached hereto.
 ___: was filed on _____ as Application Serial No. _____, and was amended on _____ (if applicable).

NOTE: Amendments filed after the original papers are deposited with the PTO which contain new matter are not accorded a filing

date by being referred to the declaration. Accordingly, the amendments involved are those filed with the application papers, or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 CFR 1.67.

PCT APPLICATION ENTERING NATIONAL STAGE

 : was described and claimed in International Application No. , filed on , and amended on (if any).

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations Section 1.56 (a).

 : In compliance with this duty there is attached an information disclosure statement. 37 C.F.R. 1.97.

PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign applications/s for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

 : XX: no such applications have been filed.

 : such applications have been filed as follows:

EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

Country Application Number: Date of Filing: Priority Claimed
(mo., Day, Year): Under 37 USC 119

:
: : yes : no

I do claim priority for a provisional patent filing for this device filed on 1/18/96 as serial number 60/010,191 upon which was based the filing of U.S. Patent application Serial No. 08/744,290 filed 6 November 1996 for which this application is a continuation in part.

POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorney/s to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

JOHN E. HALAMKA, ESQ.

Reg. No. 30,177

Send Correspondence to:

John E. Halamka, Esq.

21515 Hawthorne Blvd. Suite 590

Torrance, CA 90503

Direct telephone calls to:

John E. Halamka, Esq.

(310) 316-6100

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURES

Full name of sole or first inventor Gary E. Johnson

Inventor's signature *Gary E. Johnson*

Date 3 Dec. 1998 Country of Citizenship USA

Residence CALIFORNIA

Post Office Address-22711 Western Ave., Torrance, CA 90501-4994

The following pages form a part of this Declaration:

 : Signature for third and subsequent joint inventors.
Number of pages added _____.

 : Signature by administrator(trix), executor(trix), or
legal representative for deceased or incapacitated inventor. Number
of pages added _____.

 : Signature for inventor who refuses to sign or
cannot be reached by person authorized under 37 C.F.R. 1.47.
Number of pages added _____.

 : Added pages to combined declaration and power of
attorney for divisional, continuation, or continuation-in-part (CIP)
application.

XX: Added Verified Statement (Declaration) Claiming
Small Entity Status (37 CFR 1.9(f) and 1.27(b))

2: Total pages added to this Declaration

Docket No.PA1615

Applicant or Patentee: GARY E. JOHNSON

Serial or Patent No.: _____, Filed or Issued: _____

Verified Statement (Declaration) Claiming Small
Entity Status (37 CFR 1.9(f) and 1.27(b))

Independent Inventor

*Lower cutting surface with
Title: ~~EQUNINE ROTARY BURR PROTECTIVE GUARD~~ *Eqn EQUINE TEETH**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled

EQUINE ROTARY BURR PROTECTIVE GUARD

described in"

:XX: the specification filed herewith,

 : application serial no. _____, filed _____

 : patent no. _____, issued _____.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the inventions listed below:

:XX: no such person, concern or organization

 : persons, concerns or organizations listed below. NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

FULL NAME _____

ADDRESS _____

INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT
ORGANIZATION

FULL NAME _____

ADDRESS _____

INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT
ORGANIZATION

FULL NAME _____

ADDRESS _____

INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT
ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I here by declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 10-01 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

INVENTOR -

Name GARY E. JOHNSON

Signature of Inventor

Date 3 Dec 1998